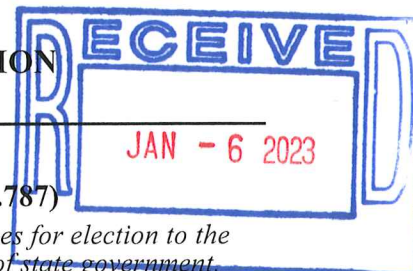


(2/99)

KENTUCKY LEGISLATIVE ETHICS COMMISSION



STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

*To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.*

**Please Include The Following Information For The Preceding Calendar Year:**

Name: John Hodgson

Business address: PO Box 7 Fisherville Kentucky 40023

Business telephone: 502-276-5213

Home address: 4900 Dunbar Valley Rd Fisherville Kentucky 40023

Title of public position, or office sought: State Representative 36

Other occupations of filer: retired

Occupation of spouse: retired

***NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.***

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation:

none

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer's spouse receives compensation, and the name of the business, partnership, or corporation:

none

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more:

Security holdings as of 12/31/2022 greater than \$10K interest:

All held and managed by Raymond James Capital Advisors, 4969 US-42 Ste 1600, Louisville, KY 40222

Jackson National Life Ins Co - Retirement Latitudes NON-QUALIFIED

ALLSPRING ABSOLUTE RETURN FUND CL I N/L

BANK OF AMERICA CORPORATION MTN ISIN US06051GFU85 4.45% DUE 03/03/2026

CALVERT US LARGE CAP CORE RESPONSIBLE INDEX FD CL I N/L  
CATALYST/MILLBURN HEDGE STRATEGY FUND CL C M/F  
CATALYST/MILLBURN HEDGE STRATEGY FUND CL I N/L  
CAUSEWAY INTERNATIONAL VALUE FUND INVESTOR CL N/L  
DELAWARE EMERGING MARKETS INSTL CL N/L  
EATON VANCE ATLANTA CAPITAL SMID CAP FUND CL I N/L  
FIDELITY INTERNATIONAL SMALL CAP FUND N/L  
FIRST TRUST FINANCIALS ALPHADDEX FUND  
FIRST TRUST LARGE CAP VALUE ALPHADDEX FUND  
FIRST TRUST MERGER ARBITRAGE FUND CL I N/L  
FIRST TRUST VALUE LINE DIVIDEND INDEX FUND  
HARRIS CORPORATION NTS ISIN US413875AW58 4.4% DUE 06/15/2028  
CALLABLE 03/15/2028 @ 100  
HARTFORD CORE EQUITY FUND CL I N/L  
Jackson National Life Ins Co - Retirement Latitudes NON-QUALIFIED  
JOHN HANCOCK ALTERNATIVE ASSET ALLOCATION FUND CL I N/L  
JPMORGAN U.S. SMALL COMPANY FUND CL L N/L  
KINDER MORGAN ENERGY PARTNERS LP NTS ISIN US494550BQ81 3.5% DUE  
09/01/2023 CALLABLE 06/01/2023 @ 100  
LABORATORY CORP OF AMERICA HOLDINGS NTS ISIN US50540RAQ56 3.6%  
DUE 02/01/2025 CALLABLE 11/01/2024 @ 100  
LOUISVILLE & JEFFERSON CNTY KY METRO GOVT HEALTH SYS REV, REV  
BDS, NORTON HLTHCARE INC, SER 2016 A 4% DUE 10/01/2034 CALLABLE  
10/01/2026 @ 100  
MAINSTAY WINSLOW LARGE CAP GROWTH FUND CL I N/L  
MFS INTERNATIONAL GROWTH FUND CL I N/L  
MFS VALUE FUND CL I N/L  
NEUBERGER BERMAN LARGE CAP VALUE INSTL CL N/L  
NEUBERGER BERMAN LONG SHORT FUND INSTL CL N/L  
PERMANENT PORTFOLIO FUND CL I N/L  
PIMCO COMMODITY REAL RETURN STRATEGY FUND CL I2 N/L  
Raymond James Bank Deposit Program  
SIMON PROPERTY GROUP, L.P. NTS ISIN US828807DE42 3.375% DUE 12/01/2027  
CALLABLE 09/01/2027 @ 100  
SPDR GOLD SHARES  
SPDR S&P 500 ETF  
SPDR S&P BIOTECH ETF  
T. ROWE PRICE BLUE CHIP GROWTH FUND INVSTR CL N/L  
T. ROWE PRICE OVERSEAS STOCK FUND INVESTOR CL N/L  
UNION PACIFIC CORPORATION NTS ISIN US907818DYT3 3.25% DUE 01/15/2025  
CALLABLE 10/15/2024 @ 100  
VALERO ENERGY CORPORATION NTS ISIN US91913YAU47 3.4% DUE  
09/15/2026 CALLABLE 06/15/2026 @ 100  
VALERO ENERGY CORPORATION NTS ISIN US91913YAY68 2.85% DUE  
04/15/2025 CALLABLE 03/15/2025 @ 100  
VALLEY RANCH MUN UTIL DIST NOT TEX, ULTD TAX REF BDS, SER 2016 A  
ASSURED GUARANTY MUNICIPAL CORP BANK QUALIFIED 3% DUE  
09/01/2024  
VANGUARD FTSE EMERGING MARKETS ETF  
VANGUARD GROWTH ETF  
VANGUARD TAX MANAGED SMALL CAP FUND ADMIRAL SHARES N/L  
EATON VANCE ATLANTA CAPITAL SMID CAP FUND CL I N/L

Raymond James Bank Deposit Program

SPDR S&P 500 ETF

SPDR GOLD SHARES

VANGUARD GROWTH ETF

CAUSEWAY INTERNATIONAL VALUE FUND INVESTOR CL N/L

CATALYST/MILLBURN HEDGE STRATEGY FUND CL I N/L

MFS INTERNATIONAL GROWTH FUND CL I N/L

VALLEY RANCH MUN UTIL DIST NO T TEX, ULTD TAX REF BDS, SER 2016 A

ASSURED GUARANTY MUNICIPAL CORP BANK QUALIFIED 3% DUE

09/01/2024

CALVERT US LARGE CAP CORE RESPONSIBLE INDEX FD CL I N/L

KINDER MORGAN ENERGY PARTNERS LP NTS ISIN US494550BQ81 3.5% DUE

09/01/2023 CALLABLE 06/01/2023 @ 100

LOUISVILLE & JEFFERSON CNTY KY METRO GOVT HEALTH SYS REV, REV

BDS, NORTON HLTHCARE INC, SER 2016 A 4% DUE 10/01/2034 CALLABLE

10/01/2026 @ 100

T. ROWE PRICE OVERSEAS STOCK FUND INVESTOR CL N/L

MFS VALUE FUND CL I N/L

HARRIS CORPORATION NTS ISIN US413875AW58 4.4% DUE 06/15/2028

CALLABLE 03/15/2028 @ 100

NEUBERGER BERMAN LARGE CAP VALUE INSTL CL N/L

FIRST TRUST VALUE LINE DIVIDEND INDEX FUND

VALERO ENERGY CORPORATION NTS ISIN US91913YAY68 2.85% DUE

04/15/2025 CALLABLE 03/15/2025 @ 100

FIRST TRUST LARGE CAP VALUE ALPHADEX FUND

HARTFORD CORE EQUITY FUND CL I N/L

NEUBERGER BERMAN LONG SHORT FUND INSTL CL N/L

VANGUARD TAX MANAGED SMALL CAP FUND ADMIRAL SHARES N/L

BANK OF AMERICA CORPORATION MTN ISIN US06051GFU85 4.45% DUE

03/03/2026

UNION PACIFIC CORPORATION NTS ISIN US907818DY13 3.25% DUE 01/15/2025

CALLABLE 10/15/2024 @ 100

JPMORGAN U.S. SMALL COMPANY FUND CL L N/L

MAINSTAY WINSLOW LARGE CAP GROWTH FUND CL I N/L

T. ROWE PRICE BLUE CHIP GROWTH FUND INVSTR CL N/L

SIMON PROPERTY GROUP, L.P. NTS ISIN US828807DE42 3.375% DUE 12/01/2027

CALLABLE 09/01/2027 @ 100

FIRST TRUST MERGER ARBITRAGE FUND CL I N/L

PERMANENT PORTFOLIO FUND CL I N/L

ALLSPRING ABSOLUTE RETURN FUND CL I N/L

FIRST TRUST FINANCIALS ALPHADEX FUND

JOHN HANCOCK ALTERNATIVE ASSET ALLOCATION FUND CL I N/L

CATALYST/MILLBURN HEDGE STRATEGY FUND CL C M/F

VALERO ENERGY CORPORATION NTS ISIN US91913YAU47 3.4% DUE

09/15/2026 CALLABLE 06/15/2026 @ 100

DELAWARE EMERGING MARKETS INSTL CL N/L

SPDR S&P BIOTECH ETF

LABORATORY CORP OF AMERICA HOLDINGS NTS ISIN US50540RAQ56 3.6%

DUE 02/01/2025 CALLABLE 11/01/2024 @ 100

FIDELITY INTERNATIONAL SMALL CAP FUND N/L

VANGUARD FTSE EMERGING MARKETS ETF

PIMCO COMMODITY REAL RETURN STRATEGY FUND CL I2 N/L

AUTOZONE, INC. NTS ISIN US053332AX09 3.125% DUE 04/18/2024 CALLABLE  
03/18/2024 @ 100  
VALERO ENERGY CORPORATION NTS ISIN US91913YAS90 3.65% DUE  
03/15/2025  
VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP ETF  
MFS VALUE FUND CL A M/F  
GOLDMAN SACHS COMMODITY STRATEGY FUND INVSTR CL N/L  
VANGUARD RUSSELL 1000 GROWTH ETF

Sources and form of gross income of the filer (list sources by name):

UPS Retirement  
Investments

Sources and form of gross income of the filer's spouse (list sources by name):

none

Positions of a fiduciary nature in a business:

none

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children:

Family farm - Pleasant Grove Ridge, Anderson County Ky

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.):

none

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes):

none

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the

filer's immediate family

none

The names of the filer's clients who are legislative agents or employers:

none

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? : Yes X No Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency:

Clients

none

State Agency

none

### NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

Jan 6 2022  
Date

John F Hodgson  
Filer

Send completed statements to: The Kentucky Legislative Ethics Commission  
22 Mill Creek Park  
Frankfort, Kentucky 40601  
FAX (502) 573-2929